

3816-4000



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PATENT TRADEMARK OFFICE

PATENT

U.S.S.N. 09/403,107

Express Mail # EL 762 530 356US

#5/3g  
1/11/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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JAN 10 2001

TECH CENTER 1600/2900

Applicant(s) : Peter Kufer and Tobias Raum  
Serial No. : 09/403,107 Group Art Unit : 1646  
Filed : October 14, 1999  
For : NOVEL METHOD FOR THE PRODUCTION OF ANTI-HUMAN  
ANTIGEN RECEPTORS AND USES THEREOF  
Examiner : Joseph F. Murphy, Ph.D.

Commissioner of Patents  
Washington, D.C. 20231

**SUPPLEMENTAL RESPONSE**

Sir:

This is to supplement the response submitted January 3, 2001 to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence.

Enclosed herewith is a copy of the Notice as required together with a copy of the Transmittal Letter.

Respectfully submitted  
MORGAN & FINNEGAN

Maria C.H. Lin  
Reg. No. 29,323

Dated: January 4, 2001

Mailing Address:  
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New York, New York 10154  
(212) 758-4800 (Tel)  
(212) 751-6849 (Fax)



Application No.: 091702162

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**



1646

PATENT  
Docket No. 3816-4000

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TECH CENTER 1600/2900

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL 762 530 356US

Date of Deposit January 4, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Supplemental Response;
2. Notice to Comply With Requirements for Patent Applications  
containing Nucleotide Sequence and/or Amino Acid Sequence;
3. Copy of Transmittal Letter;
4. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"

service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for

Patents, Washington, D.C. 20231.

F. Garcia

(Typed or printed name of person  
mailing paper(s) and/or fee)

*F. Garcia*

(Signature of person mailing  
paper(s) and/or fee)

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Applicant(s) : Peter Kufer and Tobias Raum

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Examiner : Joseph F. Murphy, Ph.D.

Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Enclosed for filing are:

1. Sequence Listing;
2. Statement Under 37 C.F.R. §1.821(f);
3. Disc copy of Sequence Listing;
4. Express Mail Certificate No. EF 099 148 605US; and
5. Return postcard

The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500. Order No.: 3816-4000.

Respectfully submitted,

Maria C.H. Lin  
Reg. No. 29,323

Dated: January 3, 2001

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